DT14 Prec'd PCT/PTG390 (Nev) 2-244N 2005

Approved for use through 3/31/2007, OMB 0861-0021

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER P01072US2A

CON	CERNING A SUBMISSION UNDER 35 U.S.C. 371	60/395.085 10/521/98							
INTERNAT	ONAL APPLICATION NO. INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED							
	03/21871 July 11, 2003	July 11, 2002							
	NVENTION	ing Thibinkons							
Prepar	ation of Functionalized Anionic Polymerizat	ion initiators							
	T(S) FOR DO/EO/US ESTONE CORPORATION								
Applicant	nerewith submits to the United States Designated/Elected Office (DO/EC	D/US) the following items and other information:							
	his is a FIRST submission of items concerning a submission under 35 U.S.C. 37								
2 T	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
з. 🔲 т	his is an express request to begin national examination procedures (35 U.S.C. 37 5), (6), (9) and (21) indicated below.	71(f)). The submission must include items							
4. 🔲 1	he US has been elected (Article 31).								
5. X	A copy of the International Application as filed (35 U.S.C: 371(c)(2))								
	a. is attached hereto (required only if not communicated by the International Bureau).								
	b. has been communicated by the International Bureau.								
	c. X is not required, as the application was filed in the United States Receiving Office (RO/US).								
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
	a. Li is attached hereto.								
	b. has been previously submitted under 35 U.S.C. 154(d)(4).								
7. X	Amendments to the claims of the International Application under PCT Article 19								
	a. are attached hereto (required only if not communicated by the International	ational Bureau).							
	b. have been communicated by the International Bureau.								
	c. have not been made; however, the time limit for making such amend	ments has NOT expired.							
	d. have not been made and will not be made.	·							
8. 📙	An English language translation of the amendments to the claims under PCT A	rticle 19 (35 U.S.C. 371(c)(3)).							
9. LXI	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10	An English language translation of the annexes of the International Preliminary Article 36 (35 U.S.C. 371(c)(5)).	Examination Report under PCT							
Items	11 to 20 below concern document(s) or information included:								
11. 📙	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.								
12. X	An assignment document for recording. A separate cover sheet in compliance v	vith 37 CFR 3.28 and 3.31 is included.							
13.	A preliminary amendment.								
14. 🗀	An Application Data Sheet under 37 CFR 1.76.	•							
15. 📖	A substitute specification.								
16. LX	A power of attorney and/or change of address letter.	;							
17.	A computer-readable form of the sequence listing in accordance with PCT Rule								
18. 🗀	A second copy of the published International Application under 35 U.S.C. 154(d								
19. 📖	A second copy of the English language translation of the international application Express Mail Cert., International	on under 35 U.S.C. 154(d)(4). Al Search Report, PCT Request,							
20. LXI	Other items or information: Return Postcard Intil Publication	nn W02004/007563'A2 PCT Power of Attv.							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

JT12 Rec'd PCT/PTO 1 0 JAN 2005

PTO-1390 (Rev. 12-2004)
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LICATION NO. (if known, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. 60/395,085 10/520980 PCT/US03/21871						ATTORNEY'S DOCKET NUMBER P01072US2A			
		Applicant use	Office use only						
21. The following fees are submitted: X a Basic national fee \$300.00						\$			
	ation fee	\$							
		\$							
X c) Search fee						\$ 1,000			
Additional fo	TOTAL OF ABOVE CAL								
listing or co	mputer program listing file 0 sheets of paper or fract								
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)			E				
- 100 =	/50 =			x \$25	50.00	\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$			
CLAIMS	NUMBER FII	ED	NUMBER EXTRA	RATE		\$	T		
Total claims	17	- 20 =	0	x \$50.00		\$ 0			
Independent claims 3		-3=	0	x \$200.00		\$.0			
MULTIPLE DEP	ENDENT CLAIM(S) (if at	opticable)		+ \$360		\$ 1.000			
		C 27 OF	TOTAL OF ABOVE C			\$ 1,000 \$			
by 1/4.	ciaims small entity status.	See 37 CFF	R 1.27. The fees indicated abo	ve are reduc					
				SUBTO		\$ 1,000			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$			
TOTAL NATIONAL FEE =						\$ 1,000			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property						\$ 40			
TOTAL FEES ENCLOSED =						\$ 1,040			
			\$						
			\$1,040						
a. A che	ck in the amount of \$		to cover the above	e fees is en	closed.				
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c. The Co	EVI								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
	RRESPONDENCE TO:			(// /	١.		Ol 1		
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Chief IP Counsel SIGNATURE									
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